



VACANCIES

The Securities and Exchange Commission of Sri Lanka (SEC) was established by an Act of Parliament No. 36 of 1987 as amended, to regulate the Securities Market in the country and is coming under the purview of the Ministry of National Policies and Economic Affairs. Its primary objectives include the creation and maintenance of a fair and orderly Securities Market; ensuring professional standards are maintained in the industry and the protection of the interest of investors. It is also responsible to facilitate the development of the Capital Market through policy formulation to aid economic development in the country.

The SEC is looking for self motivated team players with integrity, self discipline, ethical conduct, ability to maintain confidentiality along with strong interpersonal skills for the following positions;

DIRECTOR INVESTIGATIONS

The Director Investigations will be overall responsible for the smooth functioning of the Investigations Division and major responsibilities inter-alia include;

- Analyse information/data pertaining to violations specified under the SEC Act,
- Conduct investigations, interview witnesses and record statements,
- Preparation of investigation reports,
- Monitor on-going investigations and provide guidance and instructions to the staff, and
- Liaise with Police, Attorney-General's Department and attend Courts.

The Candidate should;

- possess a Bachelor's Degree in Forensic Accounting, Law, Finance, Criminology, or any other discipline with a Class from a recognized University or Attorney at Law with a Class or a Member of a recognised professional accounting body or be a Charter holder of the Chartered Financial Analyst Institute of USA. Preference will be given to candidates who possess a Masters Degree,
- demonstrable track record in investigations/forensic accounting or auditing with a minimum of 10 years of relevant experience at senior managerial and managerial level. Exposure to the Police Service, White Collar Crime, and/or Securities and Commercial Law or Regulatory Environment will be a distinct advantage,
- excellent interrogative, analytical, writing, negotiating, drafting and presentation skills and be articulate and decisive. Candidate should be between 35 - 55 years of age.

DIRECTOR HUMAN RESOURCES

The Director Human Resources will be overall responsible for smooth functioning of the SEC pertaining to Recruitment and Selection, Performance Management, Training and Development, Succession Planning, Reward Management, Disciplinary Procedures and Welfare etc.

The candidate should;

- possess a Bachelors Degree in Human Resource Management (HRM) with a Class or any other discipline with specialisation in HRM with a Class from a recognized University, Attorney-at-Law with a Class or equivalent professional qualification from a recognised institution. Preference will be given to candidates who possess a Masters Degree,
- possess demonstrable track record in managing Human Resources with a minimum of 10 years of experience at senior managerial and managerial level with well developed HR skills to spearhead a professional team. The applicant will be responsible for all Human Resources related functions. Knowledge/experience in the Government Administrative Procedures will be a distinct advantage,
- be articulate, analytical and decisive with excellent communication skills in English, Sinhala and/or Tamil and be computer literate. Negotiating and presentation skills will be a distinct advantage. Candidate should be between 35 - 55 years of age.

DIRECTOR SUPERVISION

The Director Supervision will be overall responsible for;

- Licensing/Registration, Supervision and Compliance Monitoring of Regulates,
- On-site/off-site examinations and audit to assess the financial stability and operational viability along with business conduct of market participants,
- Development of policy guidelines based on international practices and,
- Risk Management.

The candidate should;

- possess a Bachelor's Degree with a Class in Economics, Law, Accountancy, Commerce, Finance, Management, Mathematics/Statistics, Engineering, Physical Sciences or Business Administration from a recognized University or be an Attorney at Law with a Class or Member of a recognised professional accounting body or be a Charter holder of Chartered Financial Analyst Institute of USA. Preference will be given to candidates who possess a Masters Degree,
- possess a minimum of 10 years of managerial experience in the field of risk management, compliance, monitoring, auditing, capital markets, finance and/or banking. IT based systems audit and/or forensic accounting experience would be a distinct advantage,
- be articulate, analytical and decisive with excellent people's skills, public relations and communication skills in English, Sinhala and/or Tamil and be computer literate. Negotiating and presentation skills will be a distinct advantage. Candidate should be between 35 - 55 years of age.

The position offers an excellent working environment along with a remuneration package in keeping with the qualifications and experience of the successful candidate.

Candidates from Government Agencies could be considered on secondment basis and the maximum age of 50 will not be applicable to Government employees.

If you meet the above criteria, send your application with the names of two non-related referees and their contact details to reach the following address on or before 29th January 2017. Please apply for the position that best fit your profile by Registered Post only, indicating the position on the top left hand corner of the envelope.

The Director General

Securities and Exchange Commission of Sri Lanka

Level 29, East Tower, World Trade Centre, Echelon Square, Colombo 1.

Website: www.sec.gov.lk